

Neurofilament Light Chain as a Potential Biomarker in Plasma for Alzheimer's Disease and Mild Cognitive Impairment: A Systematic Review and a Meta-Analysis

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Abstract

Background: Plasma neurofilament light (NfL) is an intermediate filamentous protein involved in stabilizing axonal structure and promoting axon growth. Recent clinical studies have reported increased NfL levels in the plasma of Alzheimer's disease (AD) patients and patients with mild cognitive impairment (MCI). This study used meta-analysis to evaluate the potential of plasma NfL as a biomarker for patients with AD and MCI. **Methods**: PubMed, Embase, and Web of Science databases were systematically searched for studies of plasma NfL levels in AD and MCI, and a meta-analysis was employed to identify whether it was suited as a reliable biomarker and discrimination of healthy controls. **Results**: A total of 24 published articles that included 2397 AD and 3242 MCI patients were analysed. The level of plasma NfL was significantly increased in patients with AD and MCI when compared with healthy control subjects (standard mean difference [SMD]: 14.33 [12.42–16.24], z = 14.71, p < 0.00001; SMD: 4.95 [3.82–6.80], z = 8.59, p < 0.00001) and higher in AD patients than MCI patients (SMD: 9.32 [8.07–10.57], z = 14.62, p < 0.00001). Meta-regression analysis showed a negative relationship between Mini-Mental State Examination (MMSE) scores and plasma NfL levels in MCI patients (slope = -0.399 [95% confidence interval (CI): -0.518 to -0.281], p < 0.05). **Conclusions**: The meta-analysis suggested that NfL levels increased in the plasma of patients with AD and MCI and were associated with cognitive decline. Results provide the clinical evidence to support plasma NfL as a cognitive biomarker for AD and MCI.

Keywords: Alzheimer's disease; mild cognitive impairment; neurofilament light chain; meta-analysis

1. Introduction

Alzheimer's disease (AD), a severe neurodegenerative disease of the central nervous system that usually occurs in senile and pre-senile patients, is characterized by progressive loss of thinking, memory, language, and impairment of cognitive ability. It is the most common form of dementia in old age, with about 60% to 80% of the dementia diagnosed in people over 65 years old being attributed to it [1]. New cases of AD are projected to increase to more than 1 million by 2050, which will place an enormous financial burden on families and society [2]. Mild cognitive impairment (MCI) is an intermediate stage in which a person has problems with memory, language, or other cognitive functions that can be detected by others or by testing but is not serious enough to affect the activities of daily living [3]. If it is not prevented from progressing in a timely manner, such subjects are at a significantly increased risk of evolving toward AD at the rate of 15-25% over two years [4,5]. However, there are neither disease-modifying therapies nor successful late-stage clinical trials currently available [6]. It is believed that continuous pathophysiological changes begin many years prior to clinical symptom onset, thus further investigations is required with the aim of discovering a practical biomarker for the early diagnosis and detection of AD.

Neurofilaments (Nfs) are intermediate filamentous proteins, expressed in neurons and particularly abundant in axons, responsible for the structural stability of axon morphology, forming the neuronal cytoskeleton, and maintaining cytoarchitecture and transport functions [7]. Nfs are divided by molecular weight into three subunits, the neurofilament heavy, medium, and light chains [8]. Neurofilament light chain (NfL) has the lowest molecular weight, and is thought to be the leading Nfs for stabilization of axonal structure and the promotion of axon growth [9,10]. There are many studies demonstrating that NfL levels are higher in AD patients than controls, associated with poorer cognitive performance, and short survival time in demented patients [11]. NfL was used as a specifically diagnostic biomarker, meanwhile, it is potentially a valuable tool for the detection of the initial pathological changes associated with AD, even at the MCI stage, while in the differential diagnosis, monitoring, and prognosis of AD. Recently, there has been great interest in the utility of NfL in plasma as a biomarker for AD. It avoids the invasiveness of cerebrospinal fluid (CSF) sampling, which is restricted in its clinical application [12].

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This meta-analysis aimed to investigate whether NfL in peripheral blood is accompanied by improved levels of AD or MCI and to evaluate NfL as a cognitive biomarker for the diagnosis of AD and MCI. The findings reported here may be used for risk assessment and assessment of disease progression, even for clinically aided diagnosis of MCI or AD. Plasma NfL levels were measured in three groups of patients, AD, MCI, and healthy subjects, obtained from cross-sectional and longitudinal studies.

2. Materials and Methods

2.1 Search Strategy

The meta-analysis was conducted according to the guidelines of the Preferred Reporting Items for Systematic Review and Meta-analysis (PRISMA) [13]. PRISMA checklist is shown in Supplementary Material. Two independent investigators (MZ and XL) performed a systematic literature research in English through June 2022 in the following three electronic databases: PubMed, Embase, and Web of Science. The search strategy was by Mesh phrases and keywords included (neurofilament light chain OR NfL) AND (plasma) AND (Alzheimer's disease OR Mild cognitive impairment). All articles were imported into the management software. Two investigators independently screened the titles, abstracts, and full texts of the most eligible publications. Any conflicts were resolved through discussion or, if necessary, adjudicated by a third investigator (ZF).

2.2 Inclusion and Exclusion Criteria

All of the included publications compared peripheral NfL data in AD or MCI patients with controls. The qualifying studies satisfied the following criteria, including: (a) Study designs must be either cross-sectional or longitudinal; (b) Studies must include AD and/or MCI patients and healthy controls; (c) AD and MCI patients must fulfil the diagnosis criteria of National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association (NINCDS-ADRDA) Criteria [14], or National Institute on Aging and Alzheimer's Association (NIA-AA) Criteria [15] or Petersen (Mayo Clinic) diagnostic criteria [16]; (d) The NfL concentration of plasma must be one of the main interests in patients with AD or MCI and in the healthy control group; (e) The article must report NfL levels in plasma measured with an in-house assay on the single molecule array platform (Simoa); (f) The study should be available from the original paper rather than case-reports, protocols, conference abstracts, reviews, or meta-analyses. Studies were excluded according to the following criteria: (a) Nonhuman studies; (b) The number of subjects was less than 20; (c) Studies without healthy controls or without disease controls; (d) Articles that were unrelated to NfL, AD, or MCI; (e) Studies with missing data such as details about demographic information and the method employed to measure NfL.

2.3 Data Extraction

One investigator independently extracted the following data from every study for the purposes of this metaanalysis, and another investigator independently checked them to ensure accuracy. The relevant data extracted included the name of the first author, year of publication, study design, number of the AD or MCI patients and healthy control groups, the average age, percentage of females, diagnosis criteria of AD and MCI, the plasma concentration of NfL, the measurement methods of NfL, and a Mini-Mental State Examination (MMSE). This information was entered into a standardized Excel spreadsheet with any disagreement resolved by discussion and agreement.

2.4 Quality Assessment

The Newcastle-Ottawa scale (NOS) was employed to assess the quality of the available studies [17]. The assessment process was individually performed by two investigators, and any discrepancy was solved by discussion or by a third investigator. NOS scores range from zero to nine, with a higher score indicating better quality. A study was given a maximum score of one star for each numbered item within three domains: The Selection (0–4 scores), Comparability (0–2 scores), and Exposure categories (0–3 scores). A score greater than five was considered to imply that the study was of high quality. The more stars allocated, the better the quality.

2.5 Statistical Analysis

Review Manager (version 5.3, Cochrane Collaboration, Oxford, United Kingdom) and Stata statistical software (version 14.0, Stata Corporation, College Station, TX, USA) were used to pool all statistical analyses. The statistical analysis was performed using a standardized mean difference methodology. When only median and interquartile ranges were available from the included articles, means and standard deviations were estimated following Wan et al. [18] and Luo et al. [19]. The standardized mean difference (SMD) and corresponding 95% confidence interval (CI) were calculated, and forest plots were generated to compare the mean plasma NfL levels between AD or MCI patients with healthy controls (HC). Either a random-effects or a fixed-effects model was based on the heterogeneity of the articles for each comparison. In the following statistical analysis, an overall meta-analysis was performed for AD vs. HC, MCI vs. HC, and AD vs. MCI. Heterogeneity between the studies was assessed by the I^2 test. When $I^2 > 50\%$, indicating heterogeneity was significant, a random-effects model was employed for calculations; otherwise, the fixedeffects model was applied to the data. Secondly, one article was removed from each group for a sensitivity analysis to evaluate the influence of an individual study on the stability of the obtained estimate. Thirdly, meta-regression analysis and subgroup analyses were conducted to test whether there was a significant difference in sample size, age, sex



ratio, and MMSE scores. A RevMan funnel plot was employed to identify any potential publication bias for each meta-analysis. All tests were two-sided, and except where noted, all statistical significances were set at p < 0.05.

3. Results

3.1 Study Characteristics

According to the search strategy, a total of 1316 articles were obtained from the three databases. Among them, 520 duplicate articles were removed. By screening the titles and abstract reviews, 680 articles were excluded for the following reasons: 40 were irrelevant topics, 119 were reviews, 43 used non-human subjects, 123 were conferences, editorials or meta-analysis, 262 were non-primary dementia studies, and 93 were not related to either plasma NfL or analytical methods for NfL. 116 potentially relevant publications were subjected to full-text reviews. Ninty-two publications were excluded for the following reasons: 43 were not controlled studies, 30 had incomplete or unavailable data, 9 lacked necessary plasma NfL data, 8 were nondementia studies, and 2 had a total sample size less than 20. The Flow Diagram showing the detailed process of selection is given in Fig. 1. Table 1 (Ref. [20-43]) presents a summary of the main characteristics of the included studies.



Fig. 1. Flow diagram of studies selected for meta-analysis.

3.2 Quality Assessment

The NOS assessment tool was used to independently evaluate the quality of the articles by two authors (MZ and XL), as shown in Table 2 (Ref. [20-43]). A study was awarded a maximum score of one star for each numbered item within the Selection (0–4 points) and Exposure (0–3 points) categories. A maximum score of up to two stars was given to a study for its Comparability (0–2 points). Gener-



ally, the quality of the studies was moderate and high, with the more stars allocated to a study, the better quality the methodology employed. All the publications in this review scored greater than or equal to six stars, indicating good quality.

3.3 Statistical Results

3.3.1 Association between Plasma Neurofilament Light Chain Levels in AD and HC

Plasma neurofilament light chain levels in AD patients were compared with those in HC subjects [20–22,24,25,27– 31,33,34,36–40,42] with extracted data from 19 studies comprising a sample of 2397 AD subjects and 3219 HC subjects. The heterogeneity of these studies was high (Tau² = 11.21; χ^2 = 58.66, df = 18, p < 0.00001; I² = 69%), therefore a random effect model was performed, and results showed that AD subjects had significantly higher levels of plasma NfL when compared with HC subjects (SMD: 14.33 [12.42–16.24], z = 14.71, p < 0.00001, Fig. 2) and sensitivity analysis indicated that none of the studies changed the nature of the effect value.

3.3.2 Association between Plasma Neurofilament Light Chain Levels in MCI and HC

The NfL levels between MCI subjects and healthy controls were then compared; the total number of MCI and HC subjects were 3242 and 3801, respectively, from 19 studies [20,23,24,26–29,31–40,42,43]. Results showed a trend of high plasma NfL levels in MCI patients when compared with healthy control subjects (SMD: 4.95 [3.82–6.08], z = 8.59, p < 0.00001, Fig. 3). There was significant heterogeneity among the studies (Tau² = 3.79; χ^2 = 92.01, df = 18, p < 0.00001; I² = 80%), while sensitivity analysis showed the conclusions were robust.

3.3.3 Association between Plasma Neurofilament Light Chain Levels in AD and MCI

Fourteen studies including 1716 AD and 2707 MCI subjects tested NfL levels in the plasma [20,24,27–29, 31,33,34,36–40,42]. Results demonstrated a significantly higher mean level of plasma NfL in the AD subjects when compared with MCI (SMD: 9.32 [8.07–10.57], z = 14.62, p < 0.00001, Fig. 4). The heterogeneity was low [$\chi^2 = 16.63$, df = 13, p = 0.22, I² = 22%] between the studies and was not significantly affected by the specific study.

3.4 Investigation of Heterogeneity

Meta-regression analysis was conducted, and the results showed that age, gender (male ratio), and MMSE scores could not be regarded as possible sources of the heterogeneity observed between AD subjects and HC subjects (see Table 3). Subgroup analyses were performed to identify the cause of high heterogeneity in the comparison of plasma NfL levels between AD and healthy controls. It revealed that the different sex ratio and MMSE ≥ 20 and

		AD		0	Conrol	Mean Difference			Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% CI
Andersson 2020	42	26	113	23	34	478	4.8%	19.00 [13.32, 24.68]	
Barker 2021	21.9	13.1	156	14.2	6.1	51	7.3%	7.70 [5.05, 10.35]	-
Brickman 2021	36.55	24.63	131	31.1	28.96	169	4.6%	5.45 [-0.62, 11.52]	
Chu 2021	34.4	30.5	22	13.3	4.7	30	1.7%	21.10 [8.24, 33.96]	
Frank 2022	26.57	17.45	153	15.43	10.51	235	6.9%	11.14 [8.07, 14.21]	
Illán-Gala 2021	28.5	11	43	12.1	4	55	6.6%	16.40 [12.95, 19.85]	
Jiao 2021	28.76	30.34	277	14.13	10.25	153	6.2%	14.63 [10.71, 18.55]	
Karikari 2021	51.2	22.6	219	38.2	23	400	6.4%	13.00 [9.25, 16.75]	
Lewczuk 2018	49.1	28.4	33	22	12.4	41	2.4%	27.10 [16.69, 37.51]	
Li, J.Q. 2018	48.7	20.9	172	32.8	15.5	179	6.3%	15.90 [12.04, 19.76]	
Lin, Y.S. 2018	32.9	25.5	119	17.8	6.4	59	5.5%	15.10 [10.24, 19.96]	
Liu, Shunjie 2020	46.07	25.16	74	26.26	20.05	60	3.6%	19.81 [12.15, 27.47]	
Mattsson 2017	51	26.9	180	34.7	21.4	193	5.4%	16.30 [11.34, 21.26]	
Palmqvist 2019	43.8	28.7	64	21	11.8	366	3.9%	22.80 [15.67, 29.93]	
Pereira 2017	43.4	21.1	65	31	15.8	57	4.2%	12.40 [5.83, 18.97]	
Simren 2021	32.47	15.29	103	18.35	8.68	99	6.7%	14.12 [10.71, 17.53]	
Sugarman 2020	26.49	17.3	156	15.33	10.47	238	7.0%	11.16 [8.14, 14.18]	
Walsh 2021	47.5	22.7	130	36.6	24	163	5.1%	10.90 [5.53, 16.27]	
Zhou 2017	50.9	26.8	187	34.7	21.4	193	5.4%	16.20 [11.31, 21.09]	
Total (95% CI)			2397			3219	100.0%	14.33 [12.42, 16.24]	•
Heterogeneity: Tau ² =	11.21: 0	$Chi^2 = 58$	3.66. df	= 18 (P	< 0.00	001): l²	= 69%	,	
Test for overall effect: $7 = 14.71$ (P < 0.00001)								-20 -10 0 10 20	
Test for overall effect: $Z = 14.71 (P < 0.00001)$							Favours [AD] Favours [control]		

Fig. 2. Forest plot of random effects meta-analysis of plasma NfL levels in AD patients and HC subjects.

		MCI		c	ontrol			Mean Difference	Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% Cl
Alcolea 2021	16.8	9.3	46	8.9	5	46	5.3%	7.90 [4.85, 10.95]	
Andersson 2020	28	23	227	23	34	478	3.9%	5.00 [0.73, 9.27]	
Chu 2021	19.62	11.06	100	13.3	4.7	30	5.8%	6.32 [3.58, 9.06]	
Frank 2022	17.61	9.89	181	15.43	10.51	235	6.9%	2.18 [0.21, 4.15]	
Hall, J.R. 2020	19.63	2.19	98	16.03	1.7	413	8.6%	3.60 [3.14, 4.06]	
Janelidze 2021	16.35	8.49	164	12.13	6.45	350	7.6%	4.22 [2.76, 5.68]	
Karikari 2021	40.7	23.5	558	38.2	23	400	5.4%	2.50 [-0.48, 5.48]	
Lewczuk 2018	38.1	15.9	25	22	12.4	41	1.9%	16.10 [8.80, 23.40]	
Li, J.Q. 2018	39.9	17.7	176	32.8	15.5	179	4.8%	7.10 [3.64, 10.56]	
Lin, Y.S. 2018	20	7.3	56	17.8	6.4	59	6.1%	2.20 [-0.31, 4.71]	
Mattsson 2017	42.8	29	197	34.7	21.4	193	3.2%	8.10 [3.05, 13.15]	
Osborn 2019	23.96	15.4	159	17.5	9.2	174	5.8%	6.46 [3.70, 9.22]	
Palmqvist 2019	29	17.9	157	21	11.8	366	5.3%	8.00 [4.95, 11.05]	
Pereira 2017	44.1	31.1	109	31	15.8	57	1.9%	13.10 [5.96, 20.24]	
Shi 2019	7	3.18	68	5.8	2.27	87	8.3%	1.20 [0.31, 2.09]	-
Simren 2021	25.96	15.56	107	18.35	8.68	99	4.9%	7.61 [4.20, 11.02]	
Sugarman 2020	17.77	10.25	185	15.33	10.47	238	6.9%	2.44 [0.45, 4.43]	
Walsh 2021	37.9	19.73	431	36.6	24	163	4.0%	1.30 [-2.83, 5.43]	- -
Zhou 2017	43	29.1	198	34.7	21.4	193	3.2%	8.30 [3.25, 13.35]	
Total (95% CI)			3242			3801	100.0%	4.95 [3.82, 6.08]	•
Heterogeneity: Tau ² =	3.79; Cł	ni² = 92.	01, df =	= 18 (P ·	< 0.000	01); l² =	80%		
Test for overall effect: Z = 8.59 (P < 0.00001)								-20 -10 0 10 20	
		,	,						Favours [IVICI] Favours [control]

Fig. 3. Forest plot of random effects meta-analysis of plasma NfL levels in MCI patients and HC subjects.

	AD			MCI Mean Difference				Mean Difference	Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Fixed, 95% CI	IV, Fixed, 95% CI
Andersson 2020	42	26	113	28	23	227	4.9%	14.00 [8.35, 19.65]	
Chu 2021	34.4	30.5	22	19.62	11.06	100	0.9%	14.78 [1.85, 27.71]	· · · · · · · · · · · · · · · · · · ·
Frank 2022	26.57	17.45	153	17.61	9.89	181	16.1%	8.96 [5.84, 12.08]	
Karikari 2021	51.2	22.6	219	40.7	23.5	558	12.2%	10.50 [6.93, 14.07]	
Lewczuk 2018	49.1	28.4	33	38.1	15.9	25	1.2%	11.00 [-0.52, 22.52]	
Li, J.Q. 2018	48.7	20.9	172	39.9	17.7	176	9.4%	8.80 [4.73, 12.87]	
Lin, Y.S. 2018	32.9	25.5	119	20	7.3	56	6.3%	12.90 [7.94, 17.86]	
Mattsson 2017	51	26.9	180	42.8	29	197	4.9%	8.20 [2.56, 13.84]	
Palmqvist 2019	43.8	28.7	64	29	17.9	157	2.7%	14.80 [7.23, 22.37]	
Pereira 2017	43.4	21.1	65	44.1	31.1	109	2.6%	-0.70 [-8.47, 7.07]	
Simren 2021	32.47	15.29	103	25.96	15.56	107	9.0%	6.51 [2.34, 10.68]	
Sugarman 2020	26.49	17.3	156	17.77	10.25	185	16.4%	8.72 [5.63, 11.81]	
Walsh 2021	47.5	22.7	130	37.9	19.73	431	8.4%	9.60 [5.28, 13.92]	
Zhou 2017	50.9	26.8	187	43	29.1	198	5.0%	7.90 [2.32, 13.48]	
Total (95% CI)			1716			2707	100.0%	9.32 [8.07, 10.57]	•
Heterogeneity: Chi ² =	16.63, d	f = 13 (F	P = 0.22	2); I ² = 2	2%				
Test for overall effect: Z = 14.62 (P < 0.00001) -20 -10 0 10 20 Favours [AD] Favours									

Fig. 4. Forest plot of fixed effects meta-analysis of plasma NfL levels in AD and MCI patients.

Table 1. Characteristics of included studies.											
Author, Year	Country	Study group	Ν	Sex (F/M)	Age	MMSE	p-NfL level	Type of study	Analytical method		
		AD	113	72/41	75 (7.2)	21.7 (3.7)	42 (26)				
Andersson et al., 2020 [20]	Sweden (BioFINDER)	MCI	227	92/135	70.6 (5.4)	27.1 (1.8)	28 (23)	cross-sectional	Simoa		
		HC	478	278/200	72.1 (5.5)	28.9 (1.2)	23 (34)				
D 1 1 0001 [01]		AD	156	88/68	74.8 (8.2)	25.3 (4.3)	21.9 (13.1)		Simoa		
Barker <i>et al.</i> , 2021 [21]	America (ADRC)	HC	51	40/11	70.8 (5.9)	29.4 (0.8)	14.2 (6.1)	cross-sectional			
	a	AD	131	69/62	82.99 (6.49)	NR	36.55 (24.63)		<u> </u>		
Brickman <i>et al.</i> , 2021 [22]	Spain, America	HC	169	64/105	81.01 (6.31)	NR	31.10 (28.96)	cross-sectional	Simoa		
		MCI	164	79/85	71 (7.48)	27 (2.99)	16.35 (8.49)				
Janelidze <i>et al.</i> , 2021 [23]	Sweden (BioFINDER)	HC	350	183/167	64 (16.38)	29 (1.49)	12.13 (6.45)	cross-sectional	Simoa		
		AD	219	89/130	75.9 (7.9)	22.7 (3.0)	51.2 (22.6)				
Karikari et al., 2021 [24]	America, Canada (ADNI)	MCI	558	237/321	72.9 (7.9)	28.0 (1.8)	40.7 (23.5)	cross-sectional	Simoa		
[]		HC	400	213/187	74.8 (6.6)	29.0 (1.3)	38.2 (23.0)				
		AD	43	27/16	65.2 (10)	21.5 (6)	28.5 (11)				
Illán-Gala <i>et al.</i> , 2021 [25]	America	HC	55	30/25	52.2 (13)	28.9(1)	12.1 (4)	cross-sectional	Simoa		
Hall, J.R. et al., 2020 [26]		MCI	98	63/35	65.6 (8.48)	23.9 (3.65)	19.63 (2.19)		<u> </u>		
	America	HC	413	326/87	59.2 (6.97)	27.0 (2.60)	16.03 (1.7)	cross-sectional	Simoa		
Lewczuk et al., 2018 [27]		AD	33	20/13	70.8 (7.6)	21.2 (3.4)	49.1 (28.4)				
	Germany	MCI	25	15/10	71.3 (8.4)	26.7 (2.1)	38.1 (15.9)	cross-sectional	Simoa		
		HC	41	19/22	52.5 (13.1)	29.3 (0.9)	22.0 (12.4)				
		AD	172	82/90	76 (7)	NR	48.7 (20.9)		Simoa		
Li, J.Q. et al., 2018 [28]	America, Canada (ADNI-1)	MCI	176	59/117	75 (8)	NR	39.9 (17.7)	cross-sectional			
		HC	179	76/103	76 (5)	NR	32.8 (15.5)				
		AD	119	63/56	77.3 (5.1)	18.6 (6.2)	32.9 (25.5)				
Lin, Y.S. et al., 2018 [29]	China	MCI	56	29/27	76.0 (5.6)	26.4 (2.3)	20.0 (7.3)	cross-sectional	Simoa		
		HC	59	28/31	77.0 (6.2)	27.8 (2.1)	17.8 (6.4)				
Ling Share in a characterity 2020 [20]	Cline	AD	74	42/32	73.2 (5.46)	21.12 (1.99)	46.07 (25.16)		0		
Liu, Shunjue <i>et al.</i> , $2020 [30]$	Cnina	HC	60	34/36	71.95 (4.74)	28.53 (1.62)	26.26 (20.05)	cross-sectional	Simoa		
		AD	180	86/94	75.3 (7.3)	23.2 (2.1)	51.0 (26.9)				
Mattsson et al., 2017 [31]	America, Canada (ADNI)	MCI	197	65/132	74.7 (7.5)	26.9 (1.8)	42.8 (29.0)	Longitudinal	Simoa		
2 · · · L- J		HC	193	87/106	75.9 (4.9)	29.1 (1.0)	34.7 (21.4)				
Onham at al. 2010 [20]	Correct 1 and	MCI	159	65/94	73 (7.7)	NR	23.96 (15.4)		c:		
Usborn <i>et al.</i> , $2019[32]$	Sweden	HC	174	71/103	72 (7.0)	NR	17.50 (9.2)	cross-sectional	Simoa		
		AD	64	39/25	76 (5)	21.8 (3.7)	43.8 (28.7)				
Palmqvist et al., 2019 [33]	Sweden (BioFINDER)	MCI	157	78/79	72 (5)	26.7 (1.8)	29.0 (17.9)	Longitudinal	Simoa		
Janelidze et al., 2021 [23] Karikari et al., 2021 [24] Illán-Gala et al., 2021 [25] Hall, J.R. et al., 2020 [26] Lewczuk et al., 2018 [27] Li, J.Q. et al., 2018 [28] Lin, Y.S. et al., 2018 [29] Liu, Shunjue et al., 2020 [30] Mattsson et al., 2017 [31] Osborn et al., 2019 [32]		HC	366	214/152	72 (5)	28.9 (1.1)	21.0 (11.8)				

Table 1. Continued.										
Author, Year	Country	Study group	Ν	Sex (F/M)	Age	MMSE	p-NfL level	Type of study	Analytical method	
		AD	65	31/34	73.7 (7.6)	23.5 (1.8)	43.4 (21.1)			
Pereira et al., 2017 [34]	America, Canada (ANDI)	MCI	109	42/67	74.2 (6.9)	26.7 (1.8)	44.1 (31.1)	cross-sectional	Simoa	
		HC	57	30/27	74.8 (5.2)	29 (1)	31 (15.8)			
Shi <i>at al</i> 2010 [25]		MCI	68	39/29	64.53 (7.68)	27.26 (1.67)	7.0 (3.18)		6	
Sni. et al., 2019 [35]	China	HC	87	51/36	64.77 (7.40)	28.55 (1.16)	5.8 (2.27)	cross-sectional	Simoa	
		AD	103	63/40	76.35 (5.76)	21.07 (4.42)	32.47 (15.29)			
Simrén et al., 2021 [36]	Europe (AddNeuroMed)	MCI	107	56/51	74.47 (5.89)	27.21 (1.82)	25.96 (15.56)	cross-sectional	Simoa	
		HC	99	53/46	73 (6.14)	29.07 (1.26)	18.35 (8.68)			
		AD	156	69/87	76.74 (8.12)	21.11 (6.17)	26.49 (17.30)			
Sugarman et al., 2020 [37]	America (BU ADRC)	MCI	185	108/77	74.99 (7.24)	28.20 (1.67)	17.77 (10.25)	cross-sectional	Simoa	
		HC	238	149/89	72.38 (7.69)	29.39 (0.91)	15.33 (10.47)			
		AD	130	57/73	74.2 (8.0)	23.1 (2.1)	47.5 (22.7)			
Walsh et al., 2021 [38]	America, Canada (ANDI)	MCI	431	196/235	71.5 (7.5)	28.0 (1.7)	37.9 (19.7)	cross-sectional	Simoa	
		HC	163	86/77	73.6 (6.2)	29.0 (1.3)	36.6 (24.0)			
		AD	187	90/97	75.5 (7.4)	23.3 (2.1)	50.9 (26.8)			
Zhou et al., 2017 [39]	America, Canada (ANDI)	MCI	198	65/133	74.5 (7.4)	26.9 (1.8)	43.0 (29.1)	cross-sectional	Simoa	
		HC	193	87/106	75.7 (4.9)	29.1 (0.99)	34.7 (21.4)			
		AD	22	10/12	71.5 (9.2)	19.1 (7.8)	34.4 (30.5)			
Chu et al., 2021 [40]	America (ADRC)	MCI	100	51/49	73.4 (7.9)	27.6 (2.7)	19.6 (11.1)	cross-sectional	Simoa	
		HC	30	21/9	70.5 (6.7)	29.3 (1.0)	13.3 (4.7)			
Line of al. 2021 [41]		AD	277	172/105	65.11 (10.57)	12 (6.44)	28.76 (30.34)		6	
Jiao <i>et al.</i> , 2021 [41]	China	HC	153	99/54	64.5 (8.2)	27.7 (2.3)	14.13 (10.25)	cross-sectional	Simoa	
		AD	153	67/86	76.82 (8.13)	21.12 (6.21)	26.57 (17.45)			
Frank et al., 2022 [42]	America (BU ADRC)	MCI	181	105/76	74.96 (7.25)	28.20 (1.68)	17.61 (9.89)	cross-sectional	Simoa	
		HC	235	148/87	72.38 (7.69)	29.39 (0.91)	15.43 (10.51)			
Alex1. 2021 [42]	Querin	MCI	46	28/18	72.6 (6.5)	25.8 (2.7)	16.8 (9.3)		C ¹	
Alcolea <i>et al.</i> , $2021 [43]$	Spain	HC	46	24/22	54.8 (12.3)	29.1 (1.1)	8.9 (5)	cross-sectional	Simoa	

Note: AD, Alzheimer's disease; MCI, mild cognitive impairment; HC, healthy controls; BioFINDER, Biomarkers For Identifying Neurodegenerative Disorders Early and Reliably; ADNI, Alzheimer's Disease Neuroimaging Initiative; BU, Boston University; ADRC, Wisconsin Alzheimer's Disease Research Center; MMSE, Mini-Mental State Examination; Simoa, Single-molecule Array; NR, not reported. MMSE <20, but not sample size, the age-matched and agemismatched were possible sources of the heterogeneity observed. It was found that the studies with a large proportion of men and lower MMSE scores had lower heterogeneity (I² = 35.6%, p = 0.133; I² = 15.3%, p = 0.307) (see Table 4).

It was also found that for the comparison of plasma NfL levels between MCI and controls, the meta-regression analysis result showed a negative relationship (slope = -0.400 [95% CI: -0.519 to -0.281], p < 0.05) between the MMSE scores and effect size in MCI patients, indicating that the lower the MMSE scores, the SMD increased implying larger plasma NfL levels compared with healthy controls (see Table 3). In the subgroup analysis of age matching and sex ratio, the heterogeneity was unaffected, but it was found that the large sample size had no heterogeneity ($I^2 =$ 41.1%, p = 0.104) and that the small sample size had higher heterogeneity ($I^2 = 91.7\%$, p < 0.05) (see Table 4). Therefore, for the different sample sizes, the proportion of men and MMSE scores were both important sources of heterogeneity regarding plasma NfL levels in patients with AD and MCI.

3.5 Publication Bias

In the present study, publication bias was evaluated by visual inspection of a funnel plot and then confirmed by Egger's test. There was significant publication bias for plasma NfL level comparisons between AD and HC (t = 2.69, p = 0.016) and MCI and HC (t = 2.38, p = 0.03), while the results of Egger's test confirmed no significant publication bias among patients with AD and MCI. When six and eight virtual studies were separately added using the trim-and-fill-method the publication bias remained significant (all p < 0.05, see Fig. 5).

4. Discussion

Alzheimer's patients have a long, mild pre-clinical phase of cognitive impairment before showing the clinical symptoms typical of dementia. A clinical performance on memory impairment characterized as amnestic MCI (aMCI), a subtype of MCI, has increasingly been accepted as a high-risk condition for conversion to AD. Unfortunately, currently there are no effective treatments available to halt, slow, or reverse the progression of AD. Consequently, there is a significant clinical need for rapid and non-invasive diagnostic biomarkers to identify AD or MCI patients. Low levels of NfL can be detected in the blood and CSF of normal persons, with an increased concentration of NfL correlated with age. Recent research suggests that NfL is abnormally released into the CSF and blood with damage and degeneration following damage to various central and peripheral neurons [10]. The exact mechanism is not completely understood, although it most probably involves the destruction of cell membrane integrity. Recently, studies have suggested that NfL levels in the CSF and blood play an important role in patients with AD or MCI. In this



Fig. 5. Funnel plot of plasma NfL levels. (A) AD patients and HC subjects. (B) MCI patients and HC subjects. (C) AD and MCI patients.

study, due to the fact that it is inexpensive and simple to acquire, meta-analysis was used to explore the peripheral blood, for the development of diagnostic biomarkers of patients with AD or MCI. Plasma NfL levels may serve as one of the most promising fluid biomarkers for the validation of AD or MCI diagnosis [44]. Further, some animal studies have also reported that NfL is a potentially reliable biomarker for the severity of neuronal apoptosis [20,45]. Although there is a potential rationale that NfL can be regarded as a measure of the intensity of ongoing AD or MCI, the data remain controversial. Other work corroborates that there is no significant association between plasma NfL and

Case-control studies										
Author, year	Selection	Comparability	Exposure	Score						
Andersson et al., 2020 [20]	፟፟፟፟፟፟፟፟፟፟፟፟፟፟፟፟፟፟፟፟	**	☆☆	8						
Barker et al., 2021 [21]	***	**	☆☆☆	8						
Brickman et al., 2021 [22]	☆☆☆☆	**	☆	7						
Janelidze et al., 2021 [23]	☆☆☆☆	**	☆	7						
Karikari et al., 2021 [24]	☆☆☆	**	☆☆	7						
Illán-Gala et al., 2021 [25]	☆☆☆	**	☆☆	7						
Hall, J.R. et al., 2020 [26]	☆☆☆	**	☆	6						
Lewczuk et al., 2018 [27]	**	**	☆☆	6						
Li, J.Q. et al., 2018 [28]	☆☆☆	**	☆☆	7						
Lin, Y.S. et al., 2018 [29]	☆☆	**	☆☆	6						
Liu, Shunjie et al., 2020 [30]	☆☆☆☆	**	☆☆	8						
Mattsson et al., 2017 [31]	☆☆☆	**	☆☆	7						
Osborn et al., 2019 [32]	☆☆☆	**	☆	6						
Palmqvist et al., 2019 [33]	☆☆☆	**	☆☆	7						
Pereira et al., 2017 [34]	☆☆☆	**	☆	6						
Shi et al., 2019 [35]	☆☆☆	**	☆☆	7						
Simren et al., 2021 [36]	☆☆☆	**	☆	6						
Sugarman et al., 2020 [37]	☆☆☆	**	☆	6						
Walsh et al., 2021 [38]	☆☆☆	**	☆	6						
Zhou et al., 2017 [39]	☆☆☆	**	☆	6						
Chu et al., 2021 [40]	☆☆☆	**	☆☆	7						
Jiao et al., 2021 [41]	☆☆☆	**	☆☆	7						
Frank et al., 2022 [42]	☆☆☆	**	☆☆	7						
Alcolea et al., 2021 [43]	፟፟፟፟፟፟፟፟፟፟፟፟፟፟፟	公众	***	8						

Table 2. The Newcastle-Ottawa Scale (NOS) for the quality assessment of studies.

Table 3. Meta-regression analysis of plasma NfL levels in patients with AD and MCI and HC subjects.

	-		-		
Group	Moderators	Coef.	95%	6 CI	р
AD vs. HC	Age	-0.035	-0.083	0.013	0.144
	Gender	-0.014	-0.039	0.011	0.260
	MMSE	-0.002	-0.073	% CI 1 0.013 0.1 0.011 0.2 0.070 0.9 0.017 0.1 0.003 0.0 -0.281 0.0	0.960
	Age	-0.052	-0.120	0.017	0.129
MCI vs. HC	Gender	-0.018	-0.038	0.003	0.091
	Moderators Coef. 95% CI Age -0.035 -0.083 0.013 0 C Gender -0.014 -0.039 0.011 0 MMSE -0.002 -0.073 0.070 0 Age -0.052 -0.120 0.017 0 C Gender -0.018 -0.038 0.003 0 MMSE -0.400 -0.519 -0.281 0	0.000			

Note: AD, Alzheimer's disease; MCI, mild cognitive impairment; HC, healthy controls; NfL, neurofilament light chain; MMSE, Mini-Mental State Examination; CI, confidence interval.

cognitive decline [46]. Here, a total of 24 studies were extracted from the literature for this meta-analysis, and the following findings were obtained: Firstly, the level of NfL in plasma of patients with AD and MCI increased, simultaneously, and it was higher in patients with AD than in MCI subjects. Secondly, the concentration of NfL in plasma increased with cognitive decrease and was negatively correlated with MMSE scores. These findings provide clinical evidence that peripheral NfL levels can potentially be used as a biomarker for AD and MCI.

In the last few years, new biomarkers have emerged for the early diagnosis of AD, among which plasma NfL has recently been considered as a diagnostic and prognostic biomarker for the preclinical stages of AD [47]. NfL levels in the plasma are closely related to several traditional biomarkers of AD, including amyloid beta $(A\beta)_{42}$, $A\beta_{42/40}$, and $A\beta_{42}$ /t-tau (total tau) [48]. NfL is a strongly proposed marker for the detection of neuronal injury or loss before the onset of the clinical symptoms of AD and cognitive dysfunction, brain atrophy, and disease progression monitored by the increase of the plasma NfL level [49], unlike the pathological mechanism of A β and Tau. Neuronal damage and neuronal death are an important characteristic of AD pathology from the beginning of the presymptomatic stage of AD, and cognitive functions are associated with dendritic and axonal integrity [50]. Axonal integrity and

Group	Assign criteria	No. of studies	SMD	95%	6 CI	He	eterogene	ity
Group	ribbigh eriteria	iter of studies	SIND	207	$\begin{array}{c ccccc} & & & & & & & \\ \hline & & & & & & \\ \hline & & & &$	р	\mathbf{I}^2	
AD vs. HC Subgroup								
Samula siza	small	9	1.071	0.774	1.367	53.11	0.000	84.9%
Sample size	large	10	0.633	0.514	0.752	27.10	Peterogene Q p 53.11 0.000 27.10 0.001 42.08 0.000 62.96 0.000 91.32 0.000 91.32 0.000 12.43 0.133 2.36 0.307 78.64 0.000 11.89 0.104 82.81 0.000 34.45 0.000 41.75 0.000	66.8%
A ga (yaars)	matched	7	0.955	0.610	1.300	42.08	0.000	85.7%
Age (years)	mismatched	12	0.755	0.755 0.596 0.914 62.96 0.000 0.927 0.633 1.220 91.32 0.000 722 0.655 0.700 1.242 0.122	0.000	82.5%		
	\leq 50%	10	0.927	0.633	1.220	91.32	0.000	90.1%
Proportion of men	>50%	9	0.702	0.605	0.799	12.43	0.133	35.6%
	<20	3	0.668	0.477	0.858	2.36	0.307	15.3%
MMSE	≥ 20	14	0.867	0.697	1.038	78.64	P Q P .11 0.000 .10 0.001 .08 0.000 .32 0.000 .32 0.000 .32 0.000 .43 0.133 .36 0.307 .64 0.000 .89 0.104 2.81 0.000 .45 0.000 .75 0.000	83.5%
MCI vs. HC Subgroup								
Samula siza	small	11	0.757	0.458	1.055	121.01	0.000	91.7%
Sample size	large	8	0.216	0.134	0.299	11.89	0.104	41.1%
A == (matched	7	0.701	0.242	1.160	182.81	0.000	96.7%
Age (years)	mismatched	12	0.389	0.269	0.509	34.45	p p 0.000 0.001 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000	68.1%
Droportion of mon	\leq 50%	9	0.734	0.300	1.167	158.18	0.000	94.9%
r toportion of men	>50%	10	0.346	0.216	0.476	41.75	p 11 0.000 10 0.001 08 0.000 06 0.000 32 0.000 33 0.133 6 0.307 54 0.000 39 0.104 81 0.000 18 0.000 75 0.000	78.4%

Table 4. Subgroup meta-analysis of plasma NfL levels in patients with AD and MCI and HC subjects.

Note: AD, Alzheimer's disease; MCI, mild cognitive impairment; HC, healthy controls; MMSE, Mini-Mental State Examination; SMD, standard mean difference; CI, confidence interval.

transport are directly associated with the degree of cognitive decline and neurodegeneration [51]. Therefore, NfL as a structural component of axons could be a promising tool for early diagnosis of AD. There is a growing body of evidence that axonal degeneration is an indicator of AD progression, affecting both brain structure and cortical metabolism, thus influencing AD's cognition [52]. Additionally, recent studies have demonstrated that the expression of NfL in plasma is elevated in patients with AD and is significantly associated with the degree of $A\beta$ and tau in the CSF and positron emission tomography [31]. Here, the level of NfL was analysed in plasma of patients with AD and MCI and was found to be significantly higher than in HC. Additionally, a metaanalysis also found that blood NfL levels are higher in AD when compared to HC [53]. However, another study has shown that there was no association between plasma NfL levels in MCI and HC subjects [54]. They included small sample size to clarify whether blood NfL was a reliable biomarker, whereas here 24 articles were included, giving a larger patient number for analysis. A series of regression and subgroup analyses were also employed to confirm the conclusion reported here. Consequently, plasma NfL may have the potential to reflect axonal degeneration and be employed as a biomarker for AD and MCI.

In summary, these findings further validated that NfL in plasma can be used as a significant cognitive biomarker that distinguishes patients with AD and MCI from HC, but that the different ranges of NfL are unable to identify the different stages of the disease; therefore, in the future, other studies are needed to define the optimal range of plasma NfL values for prediction at the different stages of the disease.



There were several limitations to the meta-analysis reported here. Firstly, despite an exhaustive literature search, it is possible that some studies may have been missed and some publications had to be excluded due to small sample size and low quality. Secondly, the trim-and-fill-method which detects and adjusts for publication bias may affect the robustness of the results reported here. Thirdly, a high heterogeneity was found in this meta-analysis where comparison of AD with HCs and MCI with HCs may have reduced its statistical power.

5. Conclusions

The results of this meta-analysis suggest that there was a significant difference of plasma NfL between AD, MCI and the healthy controls and that there was a correlation between plasma NfL and cognitive dysfunction levels. From these results, it can be concluded that plasma NfL can serve as a biomarker for AD or MCI, but it cannot discriminate AD from other dementias or neurodegenerative diseases. Given the limited data, more cohort studies are required to confirm the results reported here.

Abbreviations

AD, Alzheimer's disease; MCI, mild cognitive impairment; Nfs, neurofilaments; NfL, neurofilament light; CSF, cerebrospinal fluid; PRISMA, Preferred Reporting Items for Systematic Review and Meta-analysis; NINCDS-ADRDA, National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association; NIA-AA, National Institute on Aging and Alzheimer's Association; Simoa, single molecule array; MMSE, Mini-Mental State Examination; NOS, Newcastle-Ottawa scale; SMD, standardized mean difference; CI, confidence interval; $A\beta$, amyloid beta; HC, healthy controls.

Availability of Data and Materials

The datasets analyzed in this article are available upon request to: zmnb1989@163.com.

Author Contributions

MZ and ZF designed the review. XL and JL collected and analyzed the data. CC was responsible for the result analysis and make figures. ZF and CC supervised the procedures. MZ wrote the manuscript. All authors contributed to editorial changes in the manuscript. All authors read and approved the final manuscript. All authors have participated sufficiently in the work and agreed to be accountable for all aspects of the work.

Ethics Approval and Consent to Participate

Not applicable.

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Conflict of Interest

The authors declare no conflict of interest.

Supplementary Material

Supplementary material associated with this article can be found, in the online version, at https://doi.org/10. 31083/j.jin2204085.

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